DAY 1: WEDNESDAY October 26, 2016

9:00 - 9:20	**Grand Opening** Welcome, Prayer and Introductions
9:20 - 9:30	**Opening Remarks from the Chair**
9:30 - 10:10	**The Investment Challenge** – *Turtle Island Securities Commission* Introduction and Trade round One Throughout the two days of the Workshop, teams will compete to manage simulated portfolios. News will occur at regular intervals, allowing teams to change their portfolio holdings. At the conclusion, prizes will be awarded to the winning teams.
10:10 - 10:30	**Refreshment Break**
10:30	**Trading round One trades due**
10:40 - 11:50	**Trust Basics** – ***Jeff Harris**, Myers Weinberg* This is a primer for those who are new to the area of Trusts or just want a refresher course. **Investment Basics** – ***Steven Smith**, CFA, Beutel Goodman* This session will cover basic investment concepts and principles. **Financial Literacy for community members** – ***Terry Goodtrack**, AFOA Canada* AFOA has developed the Dollars and Sense program with modules for students in elementary, middle and high schools. Financial literacy is a significant challenge facing all of Canada. Learn how AFOA Canada can help your youth become more financially literate and be the leaders of tomorrow. **FNFA Bonds** – ***Franck Busch**, First Nation Finance Authority* Helping First Nations Communities Build their Own Futures on Their Own Terms FNFA allows First Nations to borrow funds in the public markets and on the commercial terms that are available to provincial and municipal governments. These bonds have high credit quality ratings and can be purchased by any investor. Understanding the terms of the issues will help you decide if they should be in your portfolio.
11:50 - 12:10	**Investment Challenge and Results of Round One** – Issue 1
12:10 -1:00	**Lunch and Trade Round Two**
1:15	**Round 2 trades must be submitted by.**

1:15 - 2:00 **Emerging legal issues for FN Trusts – *Jaimie Lickers*,** *Gowling WLG*

This session will address three emerging issues affecting trusts:

- The pros and cons of prohibitions
- Per Capita Distributions: the legal and financial implications
- Update on tax issues affecting First Nations trusts

Investment Advanced – *James Lampard*, *CFA, Lincluden Investment Management*

For delegates with knowledge and experience in investments. This session will help them build on that knowledge and introduce more advanced concepts and theory.

Understanding Investment Reports – *Andrew Hoffman*, *CFA, Leith Wheeler*

Your investment reports should provide a wealth of information that will show Trustees how their investments are doing. Unfortunately they are not always reader friendly. This session will help you understand the information you are receiving and what additional information you can request.

Environment, Social & Governance factors (ESG) and Socially Responsible Investing (SRI) – *Brian Holland*, *Guardian Capital*

ESG factors are becoming increasingly important in investment decision making. This session explores why these factors are important from both a qualitative and quantitative perspective for all investors, not just SRI portfolios

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2:00 – 2:45 **Fiduciary Duty – *Jeff Harris*,** *Myers Weinberg and* ***Jaimie Lickers****, Gowling WLG*

Fiduciary Duty is the core of a Trustee's role. This session will explore the elements of this duty and provide examples of how Trustees and Leadership can demonstrate their fulfillment of this duty.

2:45 – 3:00 **Refreshment Break**

2:55 - 3:35 **Sliammon First Nation's path to Self Government – *Dillon Johnson***

3:35 - 4:15 **Investment Challenge – Results – Issue 2 and trading round three**

Second edition of the Turtle Island Financial Times is announced and distributed.

Results of the 2nd trading round and team standings announced.

Turtle Island Securities Commission (TISC).

4:15 – 4:45 **Team meetings and Deadline for Round 3 Trades**

5:00 – 8:00 **Reception**

This is an opportunity to connect with old friends and new friends and have one-on-one conversations with the experts and with other communities.

DAY 2: THURSDAY October 27, 2016

9:00 - 9:15 **Opening Remarks from the Chair**

9:15 - 10:10 **How to manage an RFP Process for Professional Advisors and Investment Managers – *Graham Ragan***, *Gowling WLG &* ***Kelly Rodgers***, *Rodgers Investment Consulting*

Many people are shocked to discover the duties and obligations legally required when conducting a procurement process. This session will cover the legal framework and considerations and provide practical insights into how to manage the process.

10:10 - 10:50 **Keeping more of your income – *Max Reed***, *SKL Tax and* ***Kelly Rodgers***, *CFA, Rodgers Investment Consulting*

Success stories of eliminating U.S. Withholding taxes and recovering past taxes withheld.

10:40 – 11:00 **Refreshment Break**

11:00 – 11:40 **Strategies for growing your trust – *Mark Fattedad***, *CFA, Jarislowsky Fraser*

Trusts need to grow to preserve the purchasing power after inflation and provide for future benefits to a growing population. This session will explore some of the strategies that can be implemented to provide for that growth

- Retaining non taxable portion of gains
- Retaining some income as a 'Reserve'
- Making contributions from Council from Income received

Putting Your Team Together – *Jeff Harris*, *Myers Weinberg and* ***Kelly Rodgers***, *Rodgers Investment Consulting*

Developing a Trust and getting the capital invested requires a team that includes community expertise, legal expertise, investment expertise and operational expertise and it probably won't be the same team that negotiated the settlement. This session will explore and identify the skill sets required and how to combine them effectively.

Financial Literacy in the Community – *Leilani Wilson Walkush*, *Breakwater Investment Group*

Breakwater provides consulting and financial advisory services to U.S. Tribes. They will share examples of financial literacy programs that are working in communities and impacting members

Real Estate Investment Trusts (REITS) and Real Estate as a Core Component of an Income Oriented Portfolio – *Derek Warren*, *CIM, Lincluden Investment Management*

REITS are becoming an increasingly important part of the Canadian investment landscape. This session will explore the increasing importance of real estate to add income within a diversified portfolio

11:40 – 12:15 **"Turtle Island Financial Times" Issue 4 Release, Announcement of results of Trade Round 3 and Team Standings & Team Meetings**

12:15 - 1:15 **Lunch and Team Meetings**

1:15 – 1:30 **Deadline for Round 4 Trades**

1:30 – 2:15 **Sustainable Withdrawal Levels**, ***Sheila Norman***, *CFA, CGOV Asset Management*

The long term sustainability of your trust will depend to some extent on investment returns of the markets, over which you have no control, and to a large extent on your withdrawal levels, which you can control.

2:15 – 3:00 **SDFN Relocation Trust Case Study –** ***Sayisi Dene*** *FN Trustees*

Sayisi Dene First Nation established a Community Trust as a result of the settlement of their Relocation Claim Trustees will share their journey through the Trust Agreement Development and going live. SDFN is a fly in northern Manitoba community which presented additional challenges. Learn how these were overcome and what it takes to develop a community driven trust.

Non Traditional Investments and How to Value Them – ***Andrew Mitchell***, *CFA, Ridgewood Capital Asset Management*

Many Indigenous communities are seeing increased opportunities to invest in non traditional investments, including infrastructure, real estate and private equity. The opportunities that are being presented include Limited Partnerships and Joint Ventures with other Nations as well as dedicated funds offered by the mainstream financial services industry. This session will address some of the key opportunities and risks, valuation approaches and key questions to ask.

Things You Always Wanted to Know but Were Afraid to Ask

Panel - ***Lisa Caswell***, *Letko Brosseau,* ***Adrienne Hill***, *Trustee,* ***Na-Cho Nyak Dun*** *First Nation Investment Trust and* ***Na-Cho Nyak Dun*** *First Nation Business Trust and* ***Jaimie Lickers***, *Gowling WLG.*

Setting up and investing for your trust can be confusing. Investment and legal jargon and mixed messages don't help. Bring your questions to an Investment Manager, a Trustee and a Lawyer as we discuss some of the more confusing aspects of managing your Trust.

- Fee structures – what's fair?
- Direct investing versus public security holdings?
- What is a pooled fund, a segregated fund and a mutual fund?
- Is your Investment Policy Statement flexible enough?
- Trust structures – When do we need a corporate Trustee? What is a "protector"?

3:00 – 3:20 **Refreshment Break**

3:20 – 4:00 **Where do we go from here?**

Panel – ***Kelly Rodgers***, *Rodgers Investment Consulting,* ***Jeff Harris***, *Myers Weinberg and* ***Steve Smith***, *Beutel Goodman*

The opportunity set for First Nation Trusts and Indigenous capital pools is expanding rapidly. At the same time the capacity of communities is increasing while the attention of all parts of the financial services industry is focused on this capital. This panel will address some of the opportunities and the risks and suggest strategies for maximizing the community's development.

4:00 – 4:20 **Release of Issue 5 "Turtle Island Financial Times" and Announcement of Team Standings**

4:20 – 4:30 **Closing and Door Prizes**